Worldview’s Sustainability/ESG policy

The Sustainable Finance Disclosure Regulation (SFDR) came into effect on March 10, 2021. This European legislation stems from the Paris Climate Agreement. By encouraging financial sector companies to communicate openly about the various sustainability aspects of their policies, the EU expects the financial sector to contribute to achieving climate goals and supporting other sustainable objectives. Financial institutions, including investment firms like Worldview Investment Management, must indicate from that date March 10 whether they incorporate sustainability considerations into their investment process.

The underlying investments of our investment policies currently do not take into account the EU criteria for environmentally sustainable economic activities.

At Worldview IM we have decided not to qualify the funds and investment services offered to clients as "sustainable" within the SFDR framework for the time being. This is due to the continued uncertainty and the lack of objective calculation methods. But more importantly, Worldview’s focus is on the process of Strategic and Tactical Asset Allocation. The execution of that strategy will almost always be done through index-linked baskets or ETFs. And as almost all major listed companies globally are actively managing their sustainability risks, while the ones that do not continue to fall out of favour with investors and thereby see their weight in the major indexes fall further and further, the markets are indirectly addressing this issue for us.

Adverse impacts of investment decisions on sustainability factors are not considered. Sustainability factors (as defined in the SFDR) refer to environmental, social, and employment issues, respect for human rights, and anti-corruption and anti-bribery. Our investment products and services do not promote environmental and/or social characteristics ("light green investments" as defined in Article 8 SFDR), nor do we explicitly aim to include sustainable investments ("dark green investments" as defined in Article 9 SFDR). Regarding sustainability, we assess the impact of sustainability risks on our funds and services as described above.